



Optimizing Stroke Prediction: High-Accuracy ML Models and Explainable AI Techniques

¹P.Subhan basha, ² Vallepu Eswaraiah

¹HOD, Associate professor, ²M.Tech Student

^{1,2}Department of CSE

^{1,2}Sri Sai Institute Of Technology And Science, Masapeta, Rayachoty (M), Annamayya Dt, AP.

subhan.mahammad@gmail.com, eswar19970807@gmail.com

ABSTRACT

Stroke is a global health crisis requiring early intervention to mitigate severe outcomes. To address this, researchers are developing automated prediction algorithms to identify at-risk individuals, which is increasingly important with an aging population. This study compares various machine learning classifiers, assessing their generalization and accuracy. We also use explainable techniques like SHAP and LIME to interpret model decisions. Our results indicate that complex models outperform simpler ones, with the best model achieving nearly 91% accuracy. Incorporating CATBOOST, a group-based classifier, further boosts prediction accuracy to 95%. This comprehensive approach offers valuable insights into model decisions, enhancing stroke care and treatment protocols.

Index Terms: Machine Learning, AI

INTRODUCTION:

Stroke incidence is rising globally, making it a leading cause of death and disability. Early intervention is vital to prevent long-term consequences, yet traditional stroke risk prediction methods are often slow and error-prone. Recently, machine learning algorithms have shown significant promise in accurately predicting stroke risk from clinical data. These models enable clinicians to identify high-risk patients early, potentially reducing complications and improving outcomes. Additionally, the need for transparency in machine learning models is growing in healthcare. Interpretable models provide insights into factors contributing to stroke risk, aiding treatment decisions and improving patient care.

LITERATURE SURVEY

L. Gibson *et al*

This meta-analysis assesses the proportion of confirmed stroke cases among patients with suspected stroke across various healthcare settings. Among 8,839 patients from 29 studies, approximately 74% received a stroke diagnosis. However, significant heterogeneity exists in this estimate. The study also identifies common non-stroke diagnoses like seizures and syncope, crucial for accurate differential diagnosis in suspected stroke cases.

2.2 N. M. Murray *et al*

This systematic review evaluates the role of artificial intelligence (AI) in identifying and triaging acute large vessel occlusion (LVO) strokes. Using machine learning (ML) methods like random forest learning (RFL)



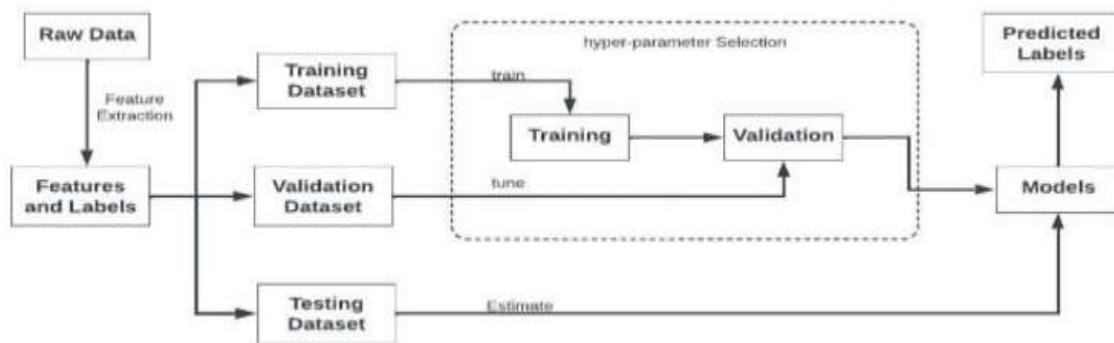
and convolutional neural networks (CNNs), AI enhances LVO detection. While CNNs exhibit higher sensitivity, standardization of AI algorithm metrics remains a challenge. Notable AI software platforms include Brainomix, iSchemaView, and Viz.ai, revolutionizing stroke care.

PROBLEM STATEMENT:

Stroke, a severe condition caused by disrupted blood flow to the brain, is a major health threat. Timely and precise detection can save lives and prevent strokes, but current methods are resource-intensive and slow. To address these challenges, machine learning algorithms have been developed, offering high accuracy in medical predictions. However, these methods often suffer from issues like data leakage and poor handling of missing or categorical data. Moreover, they lack explainability, failing to highlight which features—such as smoking, age, or BMI—are most critical in stroke detection. Incorporating Explainable AI (XAI) could provide valuable insights, allowing doctors to focus on key risk factors for quicker intervention.

PROPOSED METHOD:

The author of this paper applies several preprocessing techniques, including handling missing values, addressing class imbalance with SMOTE, and selecting relevant features using the CHI2 algorithm. These processed features are then used to train six different algorithms: Random Forest, KNN, SVM, Logistic Regression, XGBoost, and Naive Bayes. Among these, Random Forest delivers the highest accuracy. The performance of each algorithm is assessed using metrics such as accuracy, precision, recall, and F1-score. To facilitate understanding, various graphs are used to visualize stroke patient data. The top-performing algorithm is further analyzed using SHAPLEY Explainable AI (XAI) to highlight the key features influencing stroke prediction.



ARCHITECTURE



	1	2	3	4	5	6	7	8	9	10	11	12
1	id	gender	age	hypertension	heart_disease	ever_married	work_type	Residence_type	avg_glucose_level	bmi	smoking_status	stroke
2	9046	Male	67.0	1	Yes	Private	Urban	228.69	36.6	formerly smoked	1	
3	51676	Female	61.0	0	Yes	Self-employed	Rural	202.21	N/A	never smoked	1	
4	31112	Male	80.0	0	Yes	Private	Rural	105.92	32.5	never smoked	1	
5	60182	Female	49.0	0	Yes	Private	Urban	171.23	34.4	smoker	1	
6	1665	Female	79.1	0	Yes	Self-employed	Rural	174.12	24	never smoked	1	
7	56669	Male	81.0	0	Yes	Private	Urban	186.21	29	formerly smoked	1	
8	53882	Male	74.1	1	Yes	Private	Rural	70.09	27.4	never smoked	1	
9	10434	Female	69.0	0	No	Private	Urban	94.39	22.8	never smoked	1	
10	27419	Female	39.0	0	Yes	Private	Rural	76.15	N/A	Unknown	1	
11	60491	Female	78.0	0	Yes	Private	Urban	58.57	24.2	Unknown	1	
12	12109	Female	81.1	0	Yes	Private	Rural	80.43	29.7	never smoked	1	
13	12095	Female	61.0	1	Yes	Govt_job	Rural	120.46	36.8	smoker	1	
14	12175	Female	54.0	0	Yes	Private	Urban	104.51	27.3	smoker	1	
15	8213	Male	78.0	1	Yes	Private	Urban	219.84	N/A	Unknown	1	
16	5317	Female	79.0	1	Yes	Private	Urban	214.09	28.2	never smoked	1	
17	58202	Female	50.1	0	Yes	Self-employed	Rural	167.41	30.9	never smoked	1	
18	56112	Male	64.0	1	Yes	Private	Urban	191.61	37.5	smoker	1	
19	34120	Male	75.1	0	Yes	Private	Urban	221.29	25.8	smoker	1	
20	27458	Female	60.0	0	No	Private	Urban	89.22	37.8	never smoked	1	
21	25226	Male	57.0	1	No	Govt_job	Urban	217.08	N/A	Unknown	1	
22	70630	Female	71.0	0	Yes	Govt_job	Rural	193.94	22.4	smoker	1	
23	13861	Female	52.1	0	Yes	Self-employed	Urban	233.29	48.9	never smoked	1	
24	68794	Female	79.0	0	Yes	Self-employed	Urban	228.7	26.6	never smoked	1	
25	64778	Male	82.0	1	Yes	Private	Rural	208.3	32.5	Unknown	1	
26	4219	Male	71.0	0	Yes	Private	Urban	102.87	27.2	formerly smoked	1	
27	70822	Male	80.0	0	Yes	Self-employed	Rural	104.12	23.5	never smoked	1	
28	38047	Female	65.0	0	Yes	Private	Rural	100.98	28.2	formerly smoked	1	
29	61843	Male	58.0	0	Yes	Private	Rural	189.84	N/A	Unknown	1	

STROKE PREDICTION DATASET

STROKE dataset from KAGGLE

METHODOLOGY:

Reading and Displaying Dataset

Load the dataset into a Pandas DataFrame and display its first few rows to understand its structure. Address any missing values and apply label encoding to convert categorical variables into numerical format.

Exploratory Data Analysis (EDA)

Perform exploratory data analysis to understand the distribution of labels and identify any class imbalance.

1. **Distribution of Labels:** Plot the distribution of 'Normal' and 'Stroke' labels to visualize class imbalance.
2. **Class Imbalance Visualization:** Use both bar and pie charts to illustrate the imbalance between the classes.

Cluster Features Correlation Graph

Create a correlation matrix to visualize the relationships between features and identify highly correlated features.



Gender and Age Relationship

Generate a graph to show how gender relates to stroke occurrences across different age groups.

Age-Based Stroke Counts

Use a stacked bar graph to display stroke counts by age, differentiating between genders.

Gender and BMI on Stroke Patients

Visualize the relationship between gender, BMI, and stroke occurrence.

Hypertension and Heart Disease Counts

Create separate graphs to display the number of stroke patients with hypertension and heart disease.

Average Glucose Level by Gender

Generate a graph illustrating the average glucose level by gender for stroke patients.

Smoking Status and Residence Type Visualization

Display the number of stroke patients based on smoking status and residence type.

Converting Categorical Data and Normalizing

Convert all remaining categorical data to numeric format and normalize the features to standardize the dataset.

Handling Class Imbalance using SMOTE

Apply the Synthetic Minority Over-sampling Technique (SMOTE) to balance the classes in the dataset.



Feature Selection using CHI2

Perform feature selection using the CHI2 test to determine the most significant features and split the dataset into training and testing sets.

Model Training and Evaluation

Train various machine learning algorithms and evaluate their performance using metrics such as accuracy, precision, recall, and confusion matrix.

Choosing the Best Model

Identify the best-performing model based on evaluation metrics and visualize the performance.

SHAP Explanation of Features

Use SHAP to explain which features contribute most to the model's predictions.

Comparison of Algorithm Performance

Present a summary of all algorithms' performance in a tabular format for easy comparison.

Testing with CATBOOST Algorithm

Read the test data, preprocess it similarly to the training data, and use the CATBOOST algorithm to make predictions.

Extension: CATBOOST Classifier



The CATBOOST classifier enhances prediction accuracy by employing a forest of weak classifiers. Each classifier is trained, and the best one is selected based on voting. This ensemble approach improves overall prediction accuracy and robustness.

This workflow involves detailed steps from importing necessary packages and reading the dataset to training multiple models and evaluating their performance. By following these procedures, you can ensure a comprehensive analysis and effective application of machine learning techniques.

EVALUATION:

Precision:

$$\text{Formula: Precision} = \frac{\text{True Positives}}{\text{True Positives} + \text{False Positives}}$$

Code: `precision = precision_score(testY, predict, average='macro') * 100`

Recall (Sensitivity):

$$\text{Formula: Recall} = \frac{\text{True Positives}}{\text{True Positives} + \text{False Negatives}}$$

Code: `recall = recall_score(testY, predict, average='macro') * 100`

F1 Score:

$$\text{Formula: } F1 = 2 \times \frac{\text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}$$

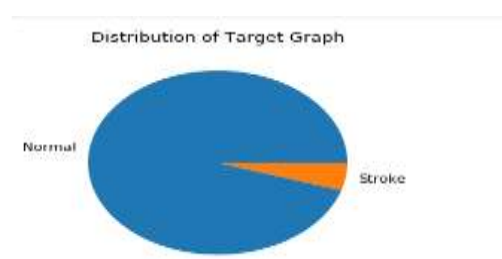
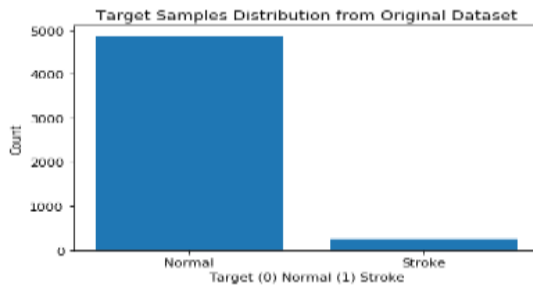
Code: `f1 = f1_score(testY, predict, average='macro') * 100`

Accuracy:

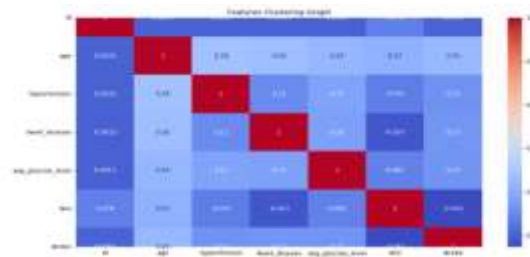
$$\text{Formula: Accuracy} = \frac{\text{Correct Predictions}}{\text{Total Predictions}}$$

Code: `accuracy = accuracy_score(testY, predict) * 100`

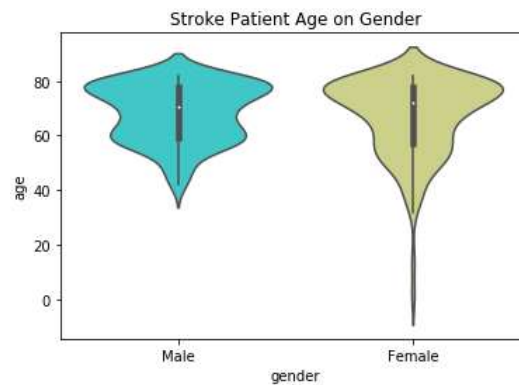
RESULTS:



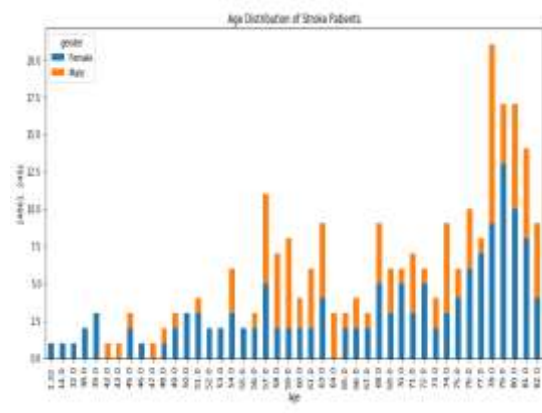
Graph for Normal and Stroke labels



Displaying cluster features correlation graph and all values are not highly correlates. High correlated means features will have score more than 90%

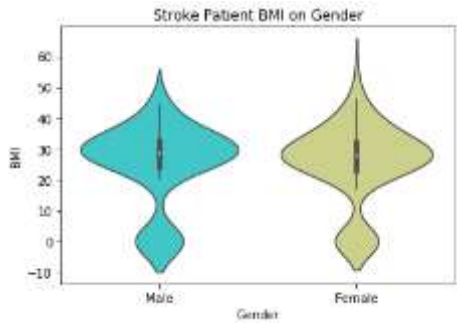


Gender with strokes on different age where x-axis represents Gender and y-axis represent age

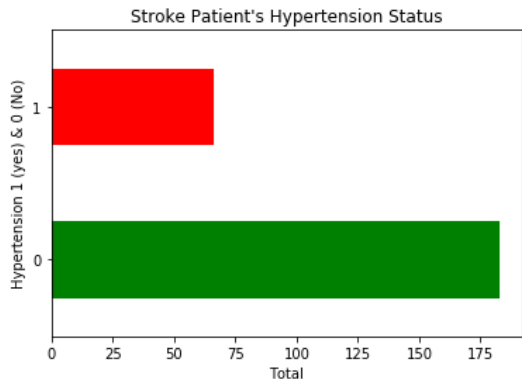




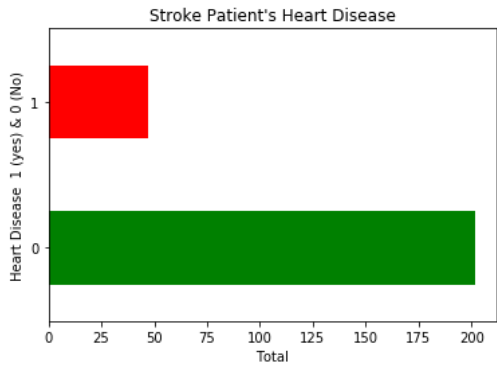
x-axis represents Age and y-axis represents stroke count where blue stack part is for Female and orange for Male



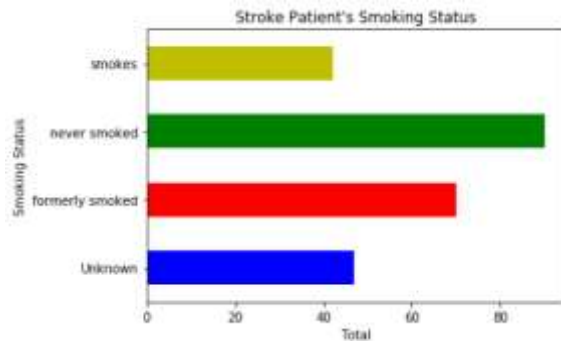
Displaying gender and BMI on stroke patients



Stroke patients suffering from hypertension

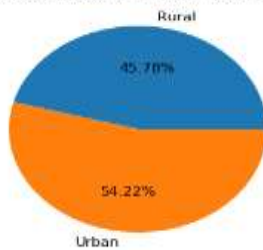


Stroke patients suffering from heart disease

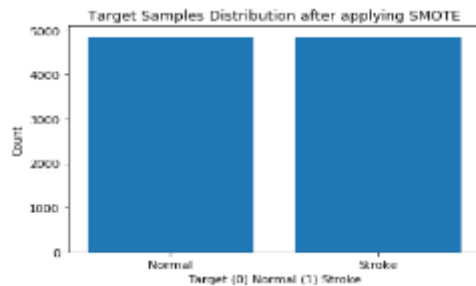


Stroke patients with smoke status

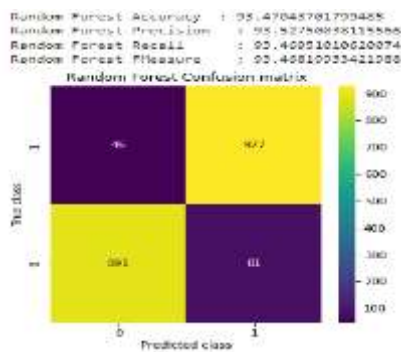
Stroke Patients Residence Type Graph



Residence type of stroke patients

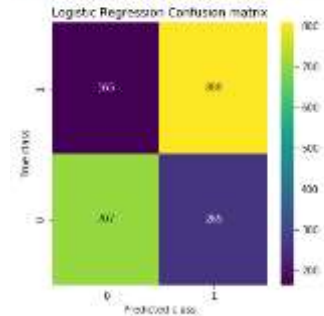


SMOTE we can see both classes has equal number of records



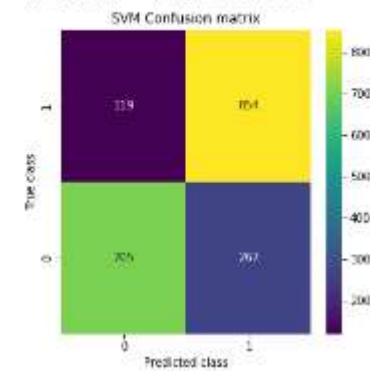
Training Random Forest algorithm got 94%

Logistic Regression Accuracy : 77.89203204812900
Logistic Regression Precision : 78.1404517708435
Logistic Regression Recall : 77.8095810184
Logistic Regression F1Score : 77.83025509591753



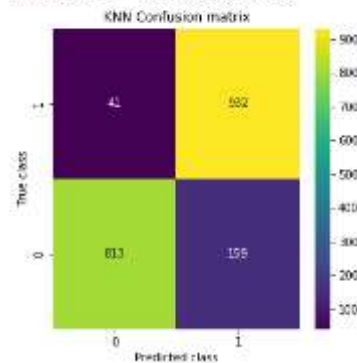
Training Logistic Regression got 78% accuracy

SVM Accuracy : 88.15428164524421
SVM Precision : 88.67011640092492
SVM Recall : 88.15852418509657
SVM F1Score : 88.45788751508905



Training SVM got 80% accuracy

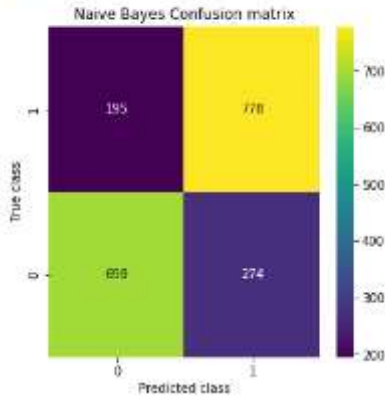
KNN Accuracy : 89.717228585886
KNN Precision : 90.3126388569883
KNN Recall : 89.71410175448542
KNN F1Score : 89.67858750010613



Training KNN got 92% accuracy

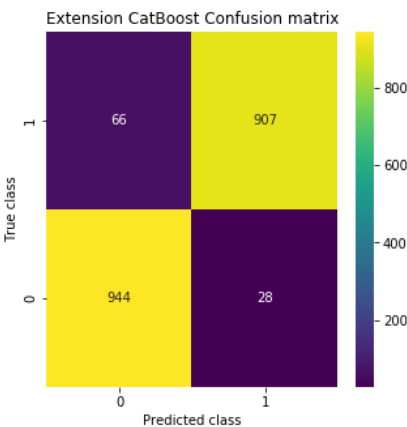


Naive Bayes Accuracy : 75.88688946815424
Naive Bayes Precision : 76.05803323227078
Naive Bayes Recall : 75.88479408965492
Naive Bayes FMeasure : 75.84682654486478



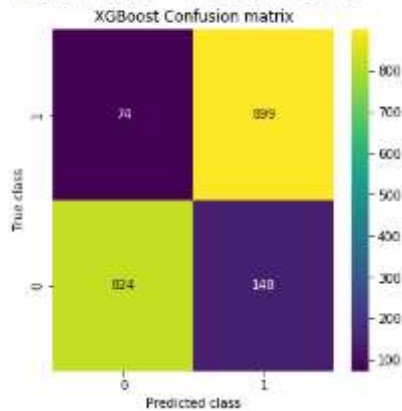
Training Naive Bayes got 77% accuracy

Extension CatBoost Accuracy : 95.16709511568124
Extension CatBoost Precision : 95.23534706411819
Extension CatBoost Recall : 95.16809832557234
Extension CatBoost FMeasure : 95.16534555231888

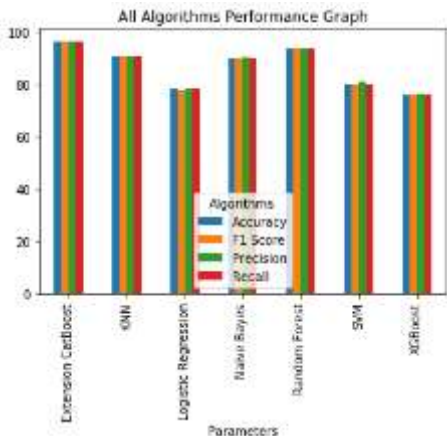


Training CATBOOST got 95% accuracy

XGBoost Accuracy : 88.58611825192883
XGBoost Precision : 88.81191994894911
XGBoost Recall : 88.58415912772428
XGBoost FMeasure : 88.58912161804416



Training XGBOOST got 89% accuracy





Prediction:

```
Test Data = [17739 'Male' 57 0 0 'Yes' 'Private' 'Rural' 84.96 36.7 'Unknown'] Predicted As ==> Normal
Test Data = [12095 'Female' 61 0 1 'Yes' 'Govt_job' 'Rural' 120.46 36.8 'smokes'] Predicted As ==> Stroke
Test Data = [12175 'Female' 54 0 0 'Yes' 'Private' 'Urban' 104.51 27.3 'smokes'] Predicted As ==> Stroke
Test Data = [8213 'Male' 78 0 1 'Yes' 'Private' 'Urban' 219.84 0.0 'Unknown'] Predicted As ==> Stroke
Test Data = [27419 'Female' 59 0 0 'Yes' 'Private' 'Rural' 76.15 0.0 'Unknown'] Predicted As ==> Normal
Test Data = [60491 'Female' 78 0 0 'Yes' 'Private' 'Urban' 58.57 24.2 'Unknown'] Predicted As ==> Normal
Test Data = [12109 'Female' 81 1 0 'Yes' 'Private' 'Rural' 80.43 29.7 'never smoked'] Predicted As ==> Stroke
Test Data = [5317 'Female' 79 0 1 'Yes' 'Private' 'Urban' 214.09 28.2 'never smoked'] Predicted As ==> Stroke
Test Data = [58202 'Female' 50 1 0 'Yes' 'Self-employed' 'Rural' 167.41 30.9
'never smoked'] Predicted As ==> Stroke
```

Predicted data as 'Normal or Stroke'

CONCLUSION

This project created an automated stroke prediction system integrated with a web application for early intervention. It utilized various preprocessing methods, including missing value imputation, data balancing with SMOTE, and feature selection using the CHI2 algorithm. Six machine learning algorithms were tested, with Random Forest showing the highest accuracy. To further boost performance, CATBOOST was introduced, achieving 95% accuracy. Explainable AI techniques like SHAP highlighted critical predictive features such as smoking, age, and BMI, offering a transparent model that helps doctors focus on key factors for faster stroke recovery and improved care.

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